IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Rule 53(b) Divisional Application of: Shunji NAKAMURA

Serial No.: New (Divisional Application of S.N.10/352,028)

Group Art Unit: 2831 (Expected)

Filed: February 24, 2004

Examiner:

HA, NGUYEN T (Expected)

For:

CAPACITOR AND METHOD FOR FABRICATING THE SAME AND

SEMICONDUCTOR DEVICE

Attorney Docket No.: 030086A

Customer Number: 38834

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR §1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

February 24, 2004

Sir:

This Information Disclosure Statement is being filed in order to comply with Applicant's duty of disclosure under 37 C.F.R. §1.56. The documents listed on the Form PTO-1449 were made of record in parent application Serial No. 10/352,028.

In the event that any fees are due in connection with the filing of this paper, please charge any fees to Deposit Account No. 50-2866.

Respectfully Submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

John P. Kong

Attorney for Applicant Registration No.: 40,054

Enclosure:

PTO-1449

1250 Connecticut Avenue Suite 700 Washington, D.C. 20036 (202) 822-1100 JPK/mt

INFORMATION
DISCLOSURE
CITATION
PTO-1449

Atty. Docket No. 030086A

Serial No.: Not yet assigned

Applicant(s): Shunji NAKAMURA

Filing Date: February 24, 2004

Group Art Unit: 2831 (Expected)

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
	AA	2002/0056869	Morimoto	05/16/2002	257	313	11/13/2001
	AB	2002/0155626	Park	10/24/2002	438	3	04/18/2002
	AC	6,552,384	Murata et al.	04/22/2003	257	307	07/02/2001
	AD	6,198,617	Sun	03/06/2001	361	301	01/12/1999
	AE	5,978,207	Anderson et al.	11/02/1999	361	311	10/30/1997
	AF						

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
	AG	11-97535	04/09/1999	Japan	Abstract
	AH				
	ΑI				
4	AJ				
	AK				

OTHER DOCUMENTS

	AL	Hiroshi HORIE et al.; International Electron Device Meeting, pp.946-948, 1996.
	AM	S. NAKAMURA et al.; Symposium on VLSI Technology Digest of Technical Papers, 4A-2, pp.35-36, 1999.
Examiner		Date Considered